

Federal Appropriations

Funding to Serve Hawai'i

U.S. SENATOR FOR HAWAII

BRIAN SCHATZ

Overview

- **Federal vs. State Appropriations**
- **Congressional Appropriations Process**
- **Programmatic Requests**
- **Congressionally Directed Spending Requests**
- **Guidance for Requests**
- **Account Information**

Federal vs. State “Appropriations”

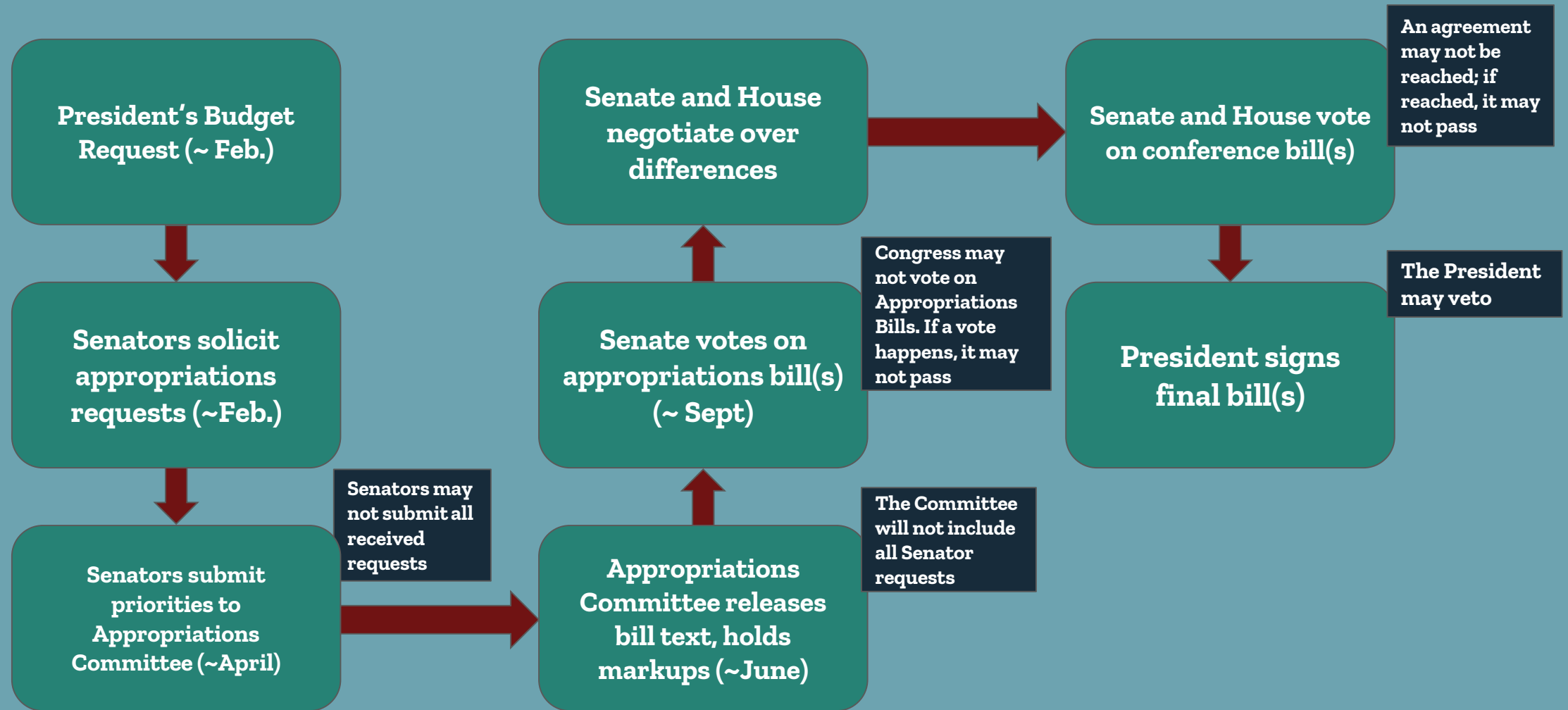
- **Hawai‘i**

- **Combines authorization and appropriation**

- **Federal**

- **Congress cannot authorize new programs, or change the rules of programs, in appropriations bills**
- **Authorization:**
 - **Establish, modify, or continue federal programs, including rules on eligibility**
 - **Prerequisite for discretionary appropriations**
- **Appropriation:**
 - **Decides levels of discretionary spending for existing authorized programs**

The Appropriations Process



Programmatic Requests

- **Specific amounts for national-level federal programs**
- **Instruct federal departments or agencies to use the funds Congress provides them in a specific way**
- **Request for a report or information from a department or agency**

When to ask for a Programmatic Request

- **Hawai'i government department or agency or organization benefits from existing program**
- **More funding would improve the chances of additional funds going to Hawai'i**

Formula and Competitive Programs

- **Formula Programs**: National increases in formula programs may—not always—result in increases for Hawai'i.
- **Competitive Programs**: Increases in competitive programs require grant applications to secure funds.
 - **Senator Schatz cannot help develop grant applications, but may support with the department or agency.**

Congressionally Directed Spending

- **AKA "earmarks"**
- **Requests made by Members of Congress for projects that fit certain requirements and do not go through a federal agency's grant process.**

CDS Rules

- **Only nonprofits (with supporting tax documents) and state and local governments are eligible.**
- **Congress requires the Government Accountability Office to audit a sample of CDS projects and report its findings.**
- **Only certain programs (accounts) and rules apply for each program.**

How to Apply

- **Intake form will be available on schatz.senate.gov**
- **Note the requirements of each CDS opportunity; these requirements will have to be met at the time of application. Please plan ahead.**
- **Leverage existing projects already in relevant plans with local support.**
 - **Some accounts explicitly require the support of the relevant state agency.**

Guidance for Compelling Requests

- **Projects must be in Hawai'i.**
- **Programmatic:**
 - **Identify what additional funding, or language, would mean for Hawai'i.**
- **CDS:**
 - **Requests should be for discrete projects.**
 - **Projects that struggle to get federal support otherwise.**
- **Identify desired outcomes (e.g., improved health, better wages)**

Fiscal Year (FY) 2022 CDS Accounts & Descriptions

CDS: Agriculture & Rural Development

- **Animal Plant Health Inspection Service Projects**
- **Natural Resources Conservation Service Projects**
- **Watershed Flood Prevention Projects**
- **Rural Development, Community Facilities grants**
- **Rural Development, Distance Learning, Telemedicine, and Broadband grants**

CDS: Economic Development

- **Small Business Administration**
- **Housing and Urban Development Economic Development Initiatives**
- **Rural Development (as mentioned)**

CDS: Education and Labor

- **Education**

- **Innovation and Improvement**
- **Rehabilitation Services**
- **Higher Education**

- **Labor**

- **Employment and Training Administration**

CDS: Health and Social Services

- **Health Resources Service Administration**
- **Substance Abuse and Mental Health Services Administration**
- **Administration for Children and Families**
- **Administration for Community Living: Aging and Disability Services Programs**

CDS: Science

- **National Institute of Standards and Technology (NIST)-related research and technology development projects**
- **NIST-related construction of research facilities**
- **National Oceanic and Atmospheric Administration**
- **National Aeronautics and Space Administration**

CDS: Justice and Policing

- **Department of Justice: Byrne**
- **Department of Justice: Community Oriented Policing Services (COPS)**

CDS: Water Development

- **Army Corps of Engineers**
- **Bureau of Reclamation: Water and Related Resources**
- **EPA: State and Tribal Assistance Grants, Water and Wastewater Infrastructure**

CDS: Energy Development

- **Energy Efficiency and Renewable Energy**
- **Office of Electricity**
- **Office of Cybersecurity, Energy Security, and Emergency Response**
- **Office of Nuclear Energy**
- **Office of Fossil Energy**

CDS: Emergency Management

- **FEMA: Pre-Disaster Mitigation**
- **FEMA: Emergency Operations Center projects**

CDS: Public Land and Environment

- **U.S. Forest Service, State and Private Forestry**
- **Land and Water Conservation Fund**
- **Legacy Restoration: BLM, FWS, NPS, USFS, Bureau of Indian Education**
- **Land Management, Construction: BLM, FWS, NPS, USFS**
- **Land Management Agencies, Local Projects and Research**
- **Bureau of Indian Affairs**
- **EPA, Science and Technology Research**
- **EPA, State and Tribal Assistance Grants**

CDS: Infrastructure

- **Transportation Planning, Research, and Development**
- **Airport Improvement Program**
- **Highway Infrastructure Programs**
- **Transit Infrastructure Grants**
- **Consolidated Rail Infrastructure and Safety Improvement Program**

CDS: Government Buildings, Historic Preservation

- **General Services Administration: Federal Buildings Fund, Construction and Acquisition**
- **National Park Service, Historic Preservation Fund**
- **Military construction**

Expected Deadlines and Resources

Expected Deadlines

- Intake forms live: **March 7**
- Intake Deadline: **April 5**

- **Senate Appropriations Committee:**
<https://www.appropriations.senate.gov/>

- **Senator Schatz Point of Contact:**

**Robert Joyce, Appropriations
Director**

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ADDITIONAL DETAIL

CDS: Agriculture & Rural Development

- **Animal Plant Health Inspection Service Projects**
 - Environmental review required, ask for list of preferred project types
- **Natural Resources Conservation Service (NRCS) Projects**
- **Watershed Flood Prevention Projects**
 - Each project must contain benefits directly related to agriculture, including rural communities that account for at least 20 percent of the total benefits of the project
 - Requestors must contact NRCS state office confirm eligibility
<https://www.rd.usda.gov/about-rd/state-offices>
- **Rural Development, Community Facilities grants**
 - Must contact USDA's Rural Development office to confirm eligibility
 - Up to 75% of total project costs, with match requirements based on median income and population
- **Rural Development, Distance Learning, Telemedicine, and Broadband grants**
 - 15% match requirement
 - Must contact USDA's Rural Development office to confirm eligibility

CDS: Economic Development

- **Small Business Administration: for projects that promote small businesses (cannot be to for profit entities)**
- **Housing and Urban Development (HUD) Economic Development Initiatives**
 - **Projects must benefit low or median income communities and/or be in qualified census tracts.**
- **Rural Development (as mentioned)**

CDS: Education and Labor

- **Education**

- **Innovation and Improvement:**

- Elementary and secondary education projects, including instructional services, afterschool centers, curricula development, teacher training, acquisition of books and computers, arts education, social and emotional learning activities, full-service community schools, and early childhood education
 - Eligible grantees are state education agencies, school districts, colleges and universities, and other public and private nonprofit entities
 - No construction or renovation of school buildings, No daycare and childcare projects that do not include educational services.

- **Rehabilitation Services:**

- Projects that further the purposes of the Rehabilitation Act, including by providing individuals with disabilities with education, training, support services, and independent living services.
 - No construction or renovation.

- **Higher Education:**

- Improving access to, or the quality of, postsecondary education. May include projects to hire and train faculty, establish and improve degree programs, improve teacher preparation programs, develop and improve curricula, upgrade technology and telecommunications, acquire science laboratory equipment, provide student support, implement university partnerships with school districts, and establish research and training centers.
 - Eligible grantees are colleges and universities and include other public and private nonprofit organizations.
 - No construction or renovation.

- **Labor**

- **Employment and Training Administration**

- Projects must meet all WIOA requirements and must: 1) Include direct services to individuals to enhance employment opportunities; 2) Demonstrate evidence of a linkage with the State or local workforce investment system; and 3) Include an evaluation component.
 - Incidental equipment purchases may be included; curriculum development also should be incidental.
 - No construction or renovation of facilities.

CDS: Health and Social Services

- **Health Resources Service Administration**

- Construction, renovation, or capital equipment purchase for facilities for health, mental health, or substance abuse services, training of health professionals, or medical research
- Improve education and training of health care professionals
- Rural Health, including medical, dental, or mental health care services; health promotion and education; chronic disease management; telehealth services; and improvements to emergency medical services
 - Used for services only in areas that meet HRSA's definition of rural
- No property purchasing, salaries, operating costs

- **Substance Abuse and Mental Health Services Administration**

- Mental health: Programs that promote the prevention or treatment of mental health disorders, including rehabilitation, outreach, and other support services.
- Substance abuse treatment: Programs to improve access, reduce barriers, and promote high quality, effective treatment and recovery services.
- Substance abuse prevention: Programs to prevent the onset of illegal drug use, prescription drug misuse and abuse, alcohol misuse and abuse, and underage alcohol and tobacco use
- No construction, needle exchange, promotion of legalization.

- **Administration for Children and Families**

- Child Abuse Prevention: Projects to improve the prevention, assessment, identification, and treatment of child abuse and neglect through research, model service improvement, information dissemination, and technical assistance
- Social Services Research and Demonstration: Projects to promote the ability of families to thrive through financial self-sufficiency, and to promote the healthy development and greater well-being of children and families
- No construction or rehabilitation.

- **Administration for Community Living: Aging and Disability Services Programs**

- Improve the ability of older adults and individuals of all ages with disabilities to live independently and participate fully in their communities.
- No construction or rehabilitation.

CDS: Science

- **National Institute of Standards and Technology (NIST)-related research and technology development projects**
 - **Projects should address standards-related research and technology development. No construction projects.**
- **NIST-related construction of research facilities**
 - **Very limited**
- **National Oceanic and Atmospheric Administration**
 - **Fisheries, marine mammals, ocean, climate, weather, and atmospheric research and projects. No construction projects.**
- **National Aeronautics and Space Administration**
 - **Science education, research, and technology development projects related to NASA's mission. No construction, but equipment expenses could be included.**

CDS: Justice and Policing

- **Department of Justice: Byrne**
 - **Personnel, equipment, supplies, contractual support, training, technical assistance, and information systems for criminal justice**
 - **Subject to requirements under 2 CFR Part 200 and DOJ Grants Financial Guide**
- **Department of Justice: Community Oriented Policing Services (COPS)**
 - **Technologies and automated systems to assist law enforcement agencies in investigating, responding to, and preventing crime**
 - **Limited to allowable purpose areas under the COPS Office statute**

CDS: Water Development

- **Army Corps of Engineers**
 - Investigations, construction, operations and maintenance
 - For already authorized projects and programs
- **Bureau of Reclamation: Water and Related Resources**
 - For already authorized projects and programs
- **EPA: State and Tribal Assistance Grants, Water and Wastewater Infrastructure**
 - Local and/or municipal projects included on a state's most recently finalized Clean Water or Drinking Water State Revolving Fund Intended Use Plan (IUP) and must be publicly owned or owned by a nonprofit entity.
 - Minimum 20% cost share requirement for state and local projects

CDS: Energy Development

- **Department of Energy program-related projects:**
 - **Energy Efficiency and Renewable Energy (EERE)**
 - **Office of Electricity (OE)**
 - **Office of Cybersecurity, Energy Security, and Emergency Response (CESER)**
 - **Office of Nuclear Energy (NE)**
 - **Office of Fossil Energy (FE)**

CDS: Emergency Management

- **FEMA: Pre-Disaster Mitigation**

- **Must meet the eligibility requirements of the Building Resilient Infrastructure and Communities (BRIC) grant program, including the cost share requirement, benefit cost ratio, and environmental and historic preservation requirements.**
- **Applications must be accompanied by a letter of support from state emergency management agency (HIEMA) affirming project eligibility**

- **FEMA: Emergency Operations Center projects**

- **Must meet the eligibility requirements of the Emergency Operations Center grant program, including the cost-share requirement and environmental and historic preservation requirements**
- **Applications must be accompanied by a letter of support from state emergency management agency (HIEMA) affirming project eligibility**

CDS: Public Land and Environment

- **U.S. Forest Service, State and Private Forestry**
 - Requests must meet USFS eligibility requirements for the appropriate grant program
 - Requests should be part of the state's Forest Action Plan, or contribute to meeting the goals of the Forest Action Plan
- **Land and Water Conservation Fund**
 - Land acquisition: Bureau of Land Management (BLM), Fish and Wildlife Service (FWS), National Park Service (NPS), U.S. Forest Service (USFS)
- **Legacy Restoration: BLM, FWS, NPS, USFS, Bureau of Indian Education**
- **Land Management, Construction: BLM, FWS, NPS, USFS**
- **Land Management Agencies, Local Projects and Research**
 - BLM: Activities related to wildlife and aquatic habitat management
 - NPS: Activities relating to operating, managing, and preserving resources, including as authorized by law
 - FWS: Fish and aquatic conservation, habitat conservation, recovery, and restoration activities
 - U.S. Geological Survey: High priority and core science research, and ecosystem and water resources related activities.
- **Bureau of Indian Affairs**
 - Invest in tribal communities, create economic opportunities, foster cultural heritage, promote efficient and effective tribal governance, and conserve natural resources
- **EPA, Science and Technology Research**
 - High priority lines of research related to environmental quality and human health
- **EPA, State and Tribal Assistance Grants (STAG)**
 - Projects related to existing funding categories and activities within the STAG account that will result in improvements in environmental quality and/or human health

CDS: Infrastructure

- **Transportation Planning, Research, and Development:** for transportation research projects
- **Airport Improvement Program:** for airport capital projects that are currently eligible under the existing program
- **Highway Infrastructure Programs** for highway capital projects
- **Transit Infrastructure Grants** for transit capital projects
- **Consolidated Rail Infrastructure and Safety Improvement Program** for rail capital projects
- **Highway, transit, and rail projects must be listed in the state's or municipal planning organization's transportation improvement plan**

CDS: Government Buildings, Historic Preservation

- **General Services Administration: Federal Buildings Fund, Construction and Acquisition**
- **National Park Service, Historic Preservation Fund**
 - **Properties or collections must be otherwise eligible for HPF grants; <https://ncshpo.org>**
- **Military construction**